

Process Signals in OTP-21

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1.

Signals



“

Communication in Erlang is conceptually performed using asynchronous signaling. All different executing entities, such as processes and ports, communicate through asynchronous signals.

- ERTS User's Guide ⇒ Communication in Erlang



ASYNCHRONOUS MESSAGES

- ▶ **Pid ! message.**
 - ▷ Send a message
- ▶ **RemotePid ! message.**
 - ▷ Send a message remotely
- ▶ **name ! message.**
 - ▷ Send a message to a locally registered process
- ▶ **{name,'n@local'} ! message.**
 - ▷ Send a message to a remotely registered process
- ▶ **Port ! {self(), {command, "hello"}}.**
 - ▷ Send a message to a port

SYNCHRONOUS MESSAGES

```
client(ServerPid) ->  
    ServerPid ! {message, self()} ,  
    receive Reply -> Reply end.
```

```
server() ->  
    receive  
        {message, From} ->  
            From ! reply,  
            server()  
    end.
```

We build synchronous messages using two asynchronous messages

NON-MESSAGE SIGNALS

- ▶ **link(Pid)** , **unlink(Pid)** .
 - ▷ Create or destroy a link to Pid

NON-MESSAGE SIGNALS

- ▶ **link(Pid)** , **unlink(Pid)** .
 - ▷ Create or destroy a link to Pid
- ▶ Exit signal {**'EXIT'** , **normal** , **Pid**}.
 - ▷ Sent by exit/2 or when a link breaks

NON-MESSAGE SIGNALS

- ▶ **link(Pid), unlink(Pid).**
 - ▷ Create or destroy a link to Pid
- ▶ Exit signal {‘EXIT’, normal, Pid}.
 - ▷ Sent by exit/2 or when a link breaks
- ▶ **Ref = monitor(process, Pid), demonitor(Ref).**
 - ▷ Setup or remove a monitor on Pid

NON-MESSAGE SIGNALS

- ▶ **link(Pid), unlink(Pid).**
 - ▷ Create or destroy a link to Pid
- ▶ Exit signal { 'EXIT', normal, Pid }.
 - ▷ Sent by exit/2 or when a link breaks
- ▶ **Ref = monitor(process, Pid), demonitor(Ref).**
 - ▷ Setup or remove a monitor on Pid
- ▶ Down signal { 'DOWN', Ref, process, Pid, normal }.
 - ▷ Send when a monitor breaks

NON-MESSAGE SIGNALS

- ▶ **link(Pid), unlink(Pid).**
 - ▷ Create or destroy a link to Pid
- ▶ Exit signal { 'EXIT', normal, Pid }.
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- ▶ **Ref = monitor(process, Pid), demonitor(Ref).**
 - ▷ Setup or remove a monitor on Pid
- ▶ Down signal { 'DOWN', Ref, process, Pid, normal }.
 - ▷ Send when a monitor breaks
- ▶ **erlang:trace(Pid, true, [call]).**
 - ▷ Change trace flags on Pid

NON-MESSAGE SIGNALS

- ▶ **link(Pid), unlink(Pid).**
 - ▷ Create or destroy a link to Pid
- ▶ Exit signal { 'EXIT', normal, Pid }.
 - ▷ Sent by exit/2 or when a link breaks
- ▶ **Ref = monitor(process, Pid), demonitor(Ref).**
 - ▷ Setup or remove a monitor on Pid
- ▶ Down signal { 'DOWN', Ref, process, Pid, normal }.
 - ▷ Send when a monitor breaks
- ▶ **erlang:trace(Pid, true, [call]).**
 - ▷ Change trace flags on Pid
- ▶ **erlang:process_info(Pid).**
 - ▷ Request information about Pid

SYNCHRONOUS NON-MESSAGE SIGNALS

- ▶ `link(Pid)`, `unlink(Pid)`.
- ▶ Exit signal { 'EXIT' , normal , Pid }.
- ▶ `Ref = monitor(process, Pid)` , `demonitor(Ref)`.
- ▶ Down signal { 'DOWN' , Ref , process , Pid , normal }.
- ▶ `erlang:trace(Pid, true, [call])`.
- ▶ `erlang:process_info(Pid)`.

ERROR HANDLING FOR **SIGNALS**, link(PidOrPort)

If `PidOrPort` does not exist, the behavior of the BIF depends on if the calling process is trapping exits or not:

- If the calling process is not trapping exits, and checking `PidOrPort` is cheap (that is, if `PidOrPort` is local), `link/1` fails with reason `noproc`.
- Otherwise, if the calling process is trapping exits, and/or `PidOrPort` is remote, `link/1` returns `true`, but an exit signal with reason `noproc` is sent to the calling process.

ERROR HANDLING FOR **SIGNALS**, link(PidOrPort)

```
Eshell V10.2.3  (abort with ^G)
(test1@elxd3291v0k)1> P1 = spawn(fun() -> ok end).
<0.87.0>
(test1@elxd3291v0k)2> catch link(P1).
```

ERROR HANDLING FOR **SIGNALS**, link(PidOrPort)

```
Eshell V10.2.3  (abort with ^G)
(test1@elxd3291v0k)1> P1 = spawn(fun() -> ok end).
<0.87.0>
(test1@elxd3291v0k)2> catch link(P1).
{'EXIT',{noproc,[{erlang,link,[<0.87.0>],[]},...]}
```

Exception
thrown

ERROR HANDLING FOR **SIGNALS**, link(PidOrPort)

```
Eshell V10.2.3  (abort with ^G)
(test1@elxd3291v0k)1> P1 = spawn(fun() -> ok end).
<0.87.0>
(test1@elxd3291v0k)2> catch link(P1).
{'EXIT',{noproc,[{erlang,link,[<0.87.0>],[]},...]}
```

Exception
thrown

```
(test1@elxd3291v0k)3> P2 = spawn('n@local',fun() -> ok end).
<8623.108.0>
(test1@elxd3291v0k)4> catch link(P2).
```

ERROR HANDLING FOR **SIGNALS**, link(PidOrPort)

```
Eshell V10.2.3  (abort with ^G)
(test1@elxd3291v0k)1> P1 = spawn(fun() -> ok end).
<0.87.0>
(test1@elxd3291v0k)2> catch link(P1).
{'EXIT',{noproc,[{erlang,link,[<0.87.0>]},{}],...}}
```

Exception
thrown

```
(test1@elxd3291v0k)3> P2 = spawn('n@local',fun() -> ok end).
<8623.108.0>
(test1@elxd3291v0k)4> catch link(P2).
true
** exception error: no such process or port
```

Exit signal
received

2.

Signal Order



“

If an entity sends multiple signals to the same destination entity, the order is preserved; that is,

if A sends a signal S1 to B, and later sends signal S2 to B, S1 is guaranteed not to arrive after S2.

- ERTS User's Guide ⇒ Communication in Erlang



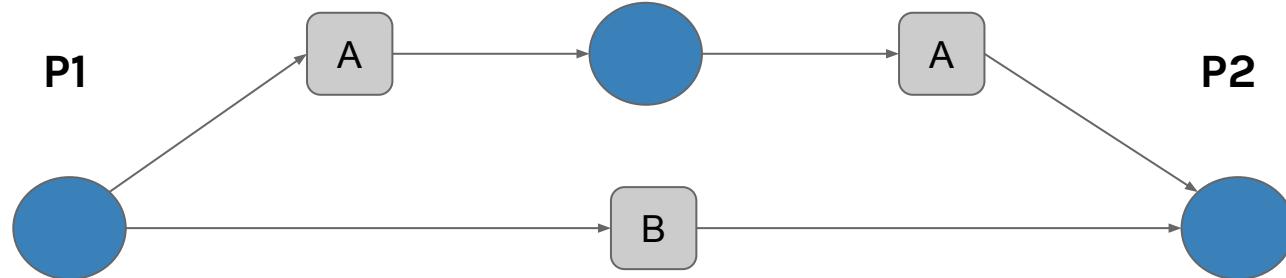
SIGNAL ORDER RULES



- First A then B arrives at P2

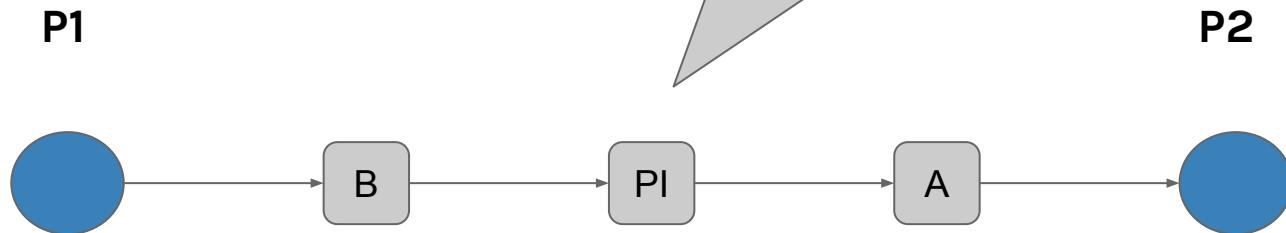
SIGNAL ORDER RULES

PROXY



- First A then B arrives at P2
- First B then A arrives at P2

SIGNAL ORDER RULES



- First A then process_info then B arrives at P2

SIGNAL ORDER RULES

```
foo() ->  
    P2 = spawn(fun() ->  
                receive after infinity -> ok end  
            end) ,  
    P2 ! a ,  
    Msgs = process_info(P, messages) ,  
    P2 ! b ,  
    Msgs .  
  
> foo().  
{messages,[a]}
```



SIGNAL ORDER RULES

```
foo() ->  
    P2 = spawn(fun() ->  
                receive after infinity -> ok end  
            end) ,  
    P2 ! a,  
    Msgs = erlang:trace(P2, true, ['receive']) ,  
    P2 ! b,  
    Msgs .  
  
> foo(), flush().  
Shell got {trace,<0.82.0>,'receive',hello}
```



“

If an entity sends multiple signals to the same destination entity, the order is preserved; that is,

if A sends a signal S1 to B, and later sends signal S2 to B, S1 is guaranteed not to arrive after S2.

- ERTS User's Guide → Communication in Erlang

Does Erlang guarantee that messages arrive?

SIGNAL ORDER RULES

```
foo() ->  
    P2 = spawn('n@local', fun() ->  
                receive after infinity -> ok end  
            end) ,  
    P2 ! a ,  
    Msgs = process_info(P, messages) ,  
    P2 ! b ,  
    Msgs .  
  
> foo().  
{messages, []}
```



3.

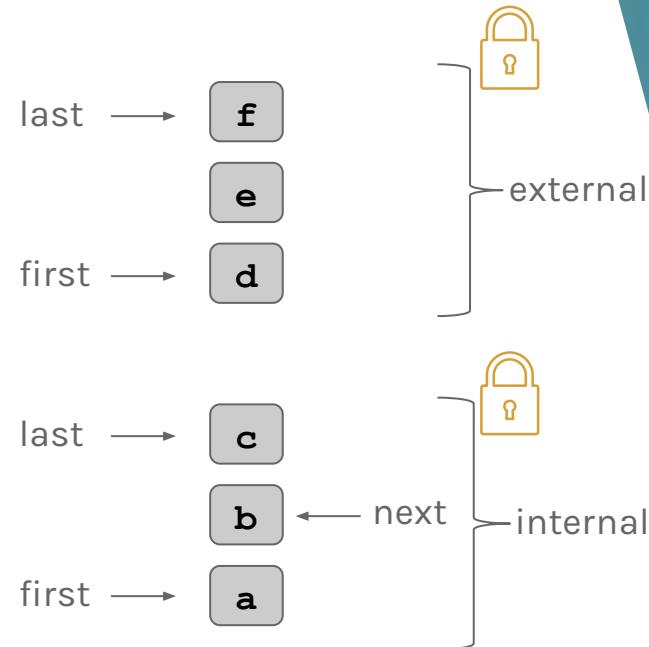
MESSAGES BEFORE OTP-21



MESSAGE SIGNALS before OTP-21

Implementation of messages:

- ▶ Two linked lists
 - ▷ External mailbox
 - ▷ Internal mailbox
- ▶ Pointer to next message to inspect
- ▶ Each mailbox protected by separate locks

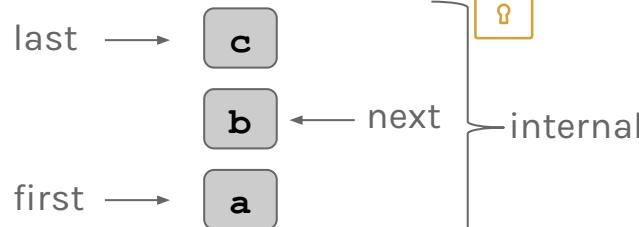


MESSAGE SIGNALS before OTP-21

receive

 e -> ok

end.

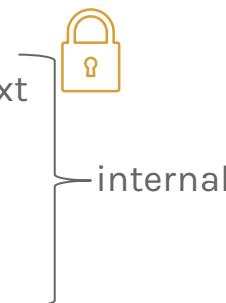
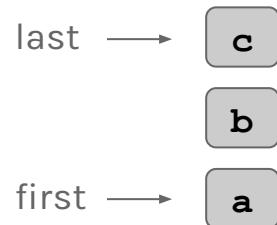


MESSAGE SIGNALS before OTP-21

receive

 e -> ok

end.



MESSAGE SIGNALS before OTP-21

receive

 e -> ok

end.

last →
first →

last →

first →

f

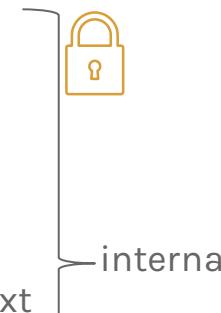
e

d

c

b

a



MESSAGE SIGNALS before OTP-21

receive

 e -> ok

end.

last →
first →

last →

first →

f

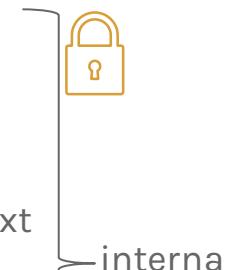
e

d

c

b

a



MESSAGE SIGNALS before OTP-21

receive

 e -> ok

end.

last →
first →

last →

first →

f

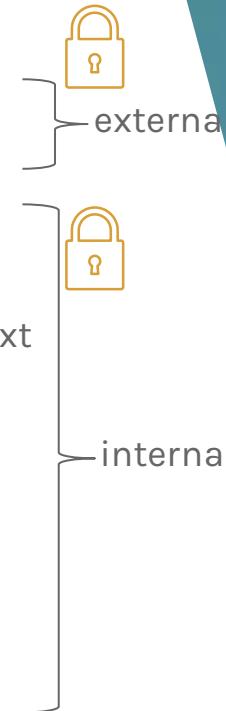
e

d

c

b

a

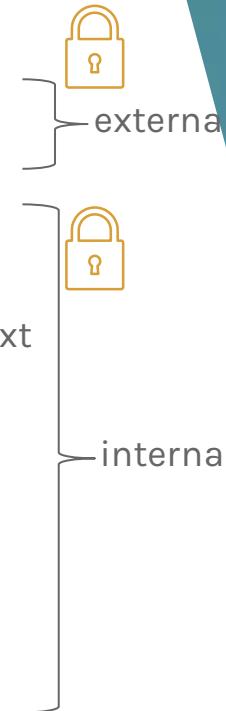
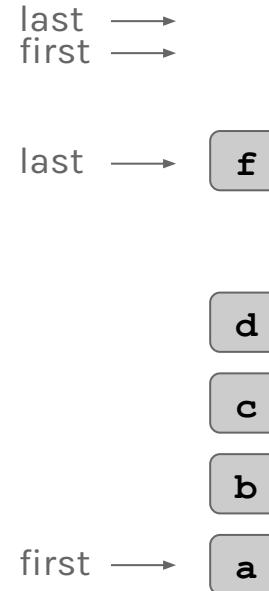


MESSAGE SIGNALS before OTP-21

receive

```
e -> ok
```

```
end.
```



MESSAGE SIGNALS before OTP-21

receive

`e -> ok`

end.

last →
first →

last →

first →

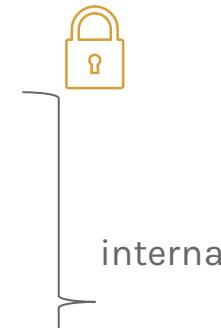
f

d

c

b

a



4.

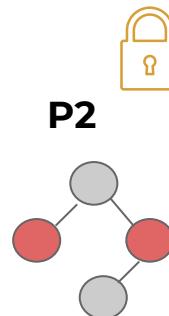
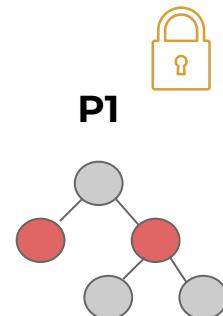
LINKS/MONITORS BEFORE OTP-21



LINK/MONITOR SIGNALS before OTP-21

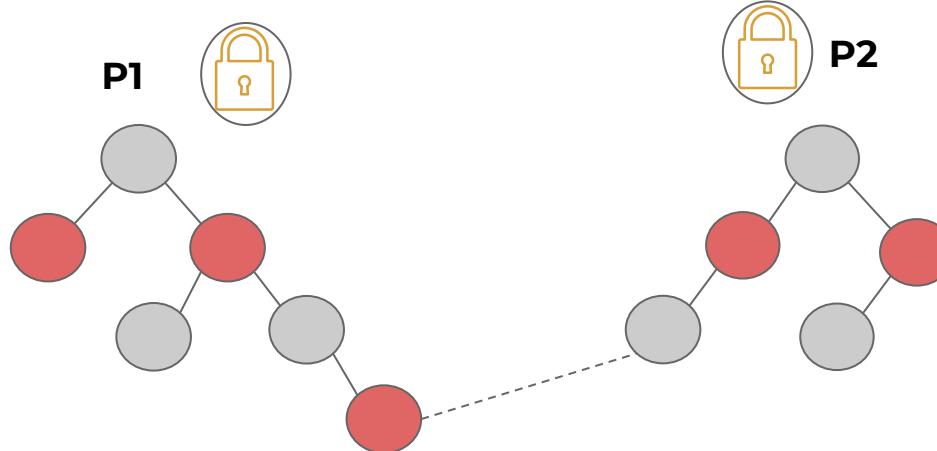
Implementation of links/monitors:

- ▶ One R/B-tree each
 - ▷ Sorted on Pid/Port/Ref
 - ▷ Contains both origins and targets
- ▶ One lock protecting links and monitors



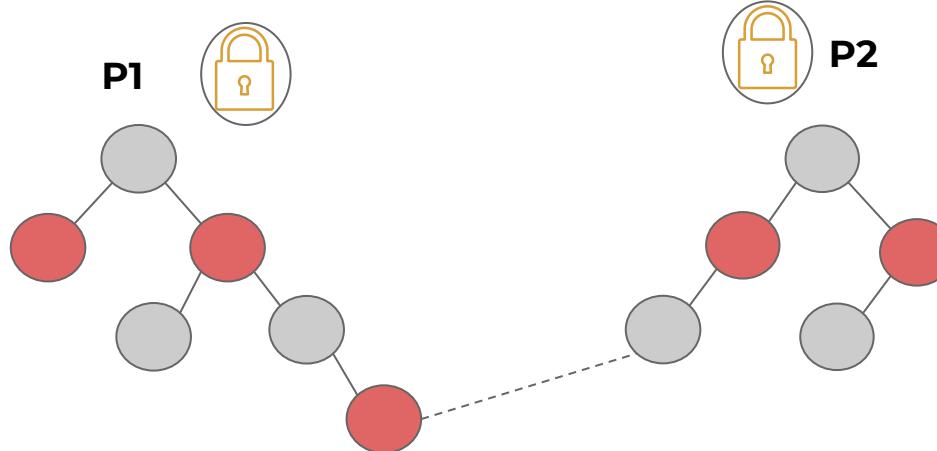
LINK/MONITOR SIGNALS before OTP-21

```
> link(P2).
```



LINK/MONITOR SIGNALS before OTP-21

```
> link(P2).
```



SUMMARY SIGNALS before OTP-21

- ▶ Messages
 - ▷ Two linked lists
 - ▷ External mailbox
 - ▷ Internal mailbox
- ▶ Non-message signals
 - ▷ Protected by locks
 - ▷ R/B tree for link/monitor
 - ▷ Lots of ad-hoc implementations



5.

A gen_server call



gen_server:call/2

```
gen:do_call(Process, Request, Timeout) ->
    Mref = erlang:monitor(process, Process),
    Process ! {'GEN_CALL', {self(), Mref}, Request},
    receive
        {Mref, Reply} ->
            erlang:demonitor(Mref, [flush]),
            {ok, Reply};
        {'DOWN', Mref, _, _, Reason} ->
            exit(Reason)
    after Timeout ->
        erlang:demonitor(Mref, [flush]),
        exit(timeout)
end.
```

gen_server:call/2

```
%% Take 2 locks + 2 r/b tree inserts  
Mref = erlang:monitor(process, Process) ,  
  
%% Take 1 lock + linked list insert  
Process ! {'GEN_CALL', {self(), Mref}, Request} ,  
  
%% Take 2 locks + 2 r/b tree deletions  
erlang:demonitor(Mref, [flush])
```

5 locks + 4 R/B Tree ops +
1 linked list op

R/B Tree ops become more
expensive as tree grows

gen_server:call benchmark

Processes	Total Calls	Total Time (s)
1	3 000 000	6.4
10	3 000 000	6.3
20	3 000 000	7.1
50	3 000 000	13.4
1000	3 000 000	23.6
10000	3 000 000	19.2



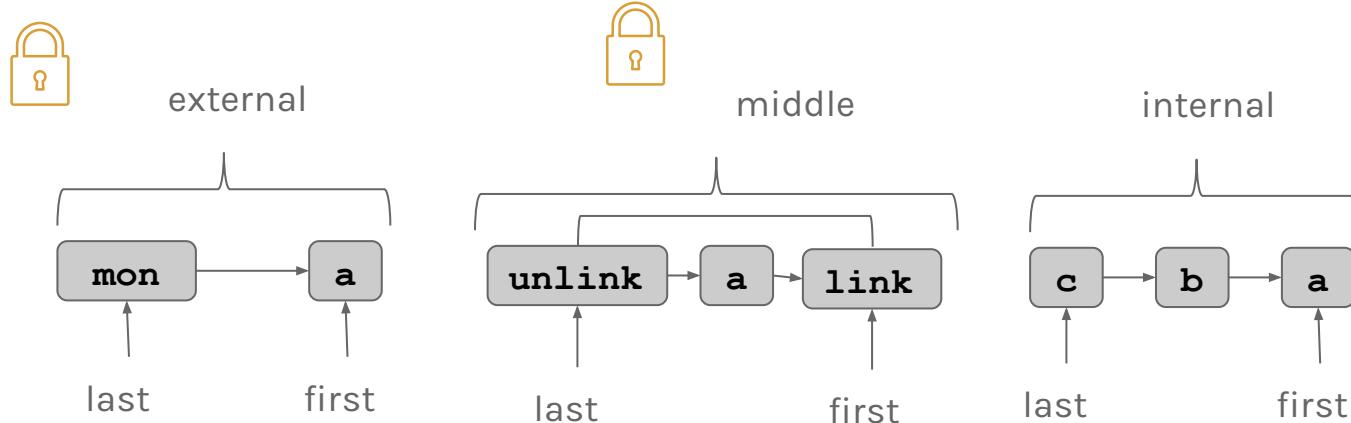
6. **SIGNALS** **AFTER OTP-21**



SIGNALS after OTP-21

Implementation of signals:

- ▶ One external queue for all signals
- ▶ One inner queue of only message signals
- ▶ One middle queue for all signals
- ▶ Skip list for non-message signals

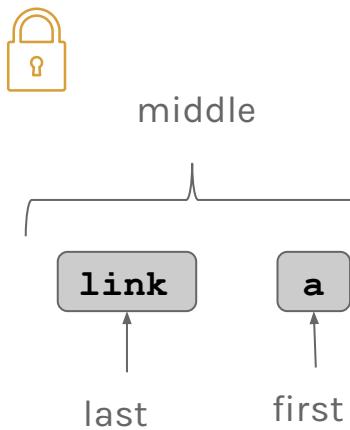


SIGNALS

after OTP-21

Implementation of signals:

- ▶ Messages and non-message signals in outer + middle queue
- ▶ All non-message signals handled when transferred from middle to inner queue
 - ▷ link/unlink/exit
 - ▷ monitor/demonitor/down
 - ▷ group_leader
 - ▷ is_process_alive
 - ▷ process_info
 - ▷ suspend/resume
 - ▷ Trace change

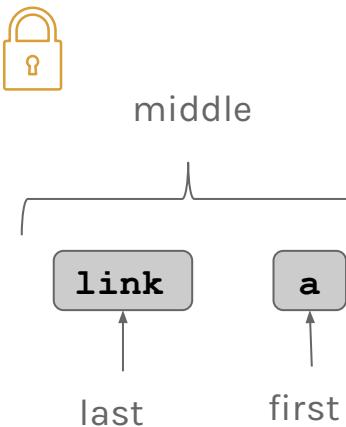


SIGNALS

after OTP-21

Implementation of signals:

- ▶ Messages and non-message signals in outer + middle queue
- ▶ All non-message signals handled when transferred from middle to inner queue
- ▶ All inspection BIFs now send internal messages to the receiving process
 - ▷ Great for scalability and performance
 - ▷ Not always great for latency of those operations



7.

MONITORS AFTER OTP-21

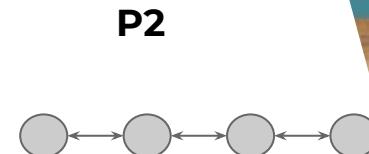
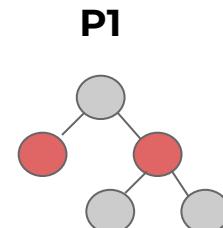


MONITOR SIGNALS

after OTP-21

Implementation of monitors:

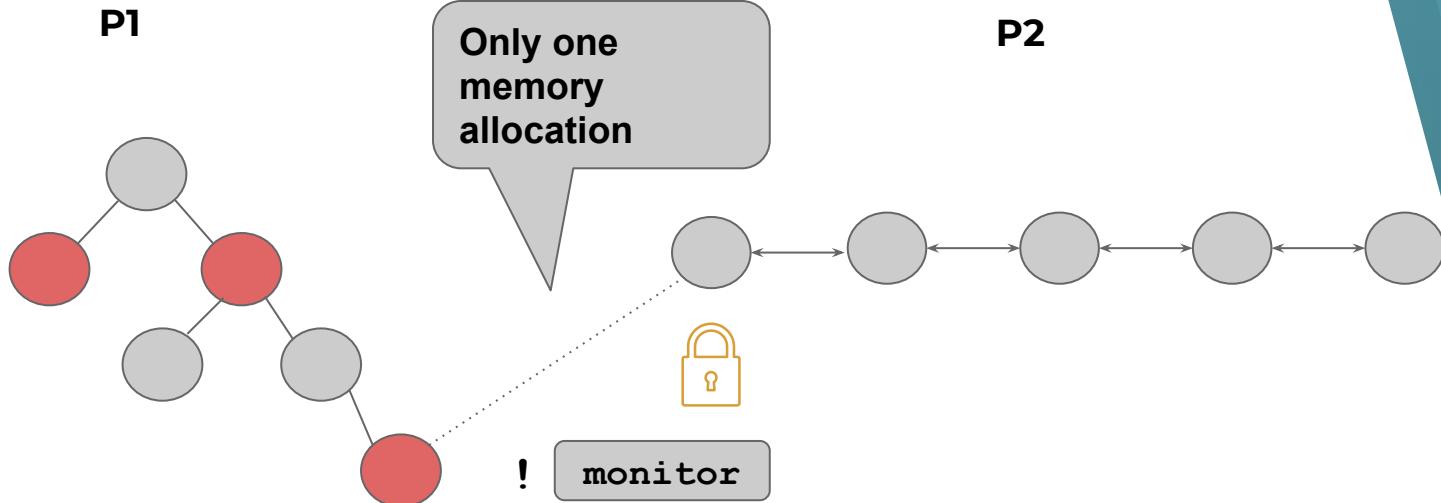
- ▶ One R/B-tree each
 - ▷ Sorted on Pid/Port/Ref
 - ▷ Contains only origin
- ▶ One double linked list with target monitors
- ▶ No locks!
 - ▷ Or rather only the message queue lock



MONITOR SIGNALS

after OTP-21

```
> Ref = monitor(process, P2) .
```

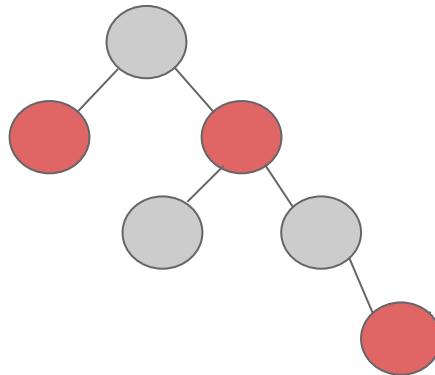


MONITOR SIGNALS

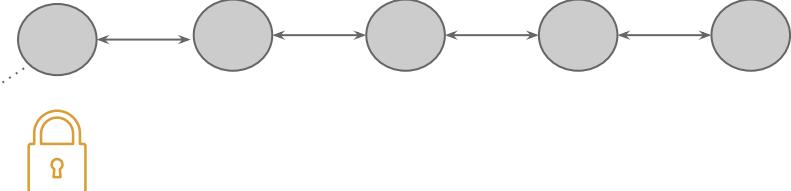
after OTP-21

```
> demonitor (Ref) .
```

P1



P2



! demonitor



SUMMARY SIGNALS

after OTP-21

- ▶ Signals
 - ▷ Three linked lists
 - ▷ External mailbox
 - ▷ Middle mailbox
 - ▷ Internal mailbox
 - ▷ Skip list for non-message signals
- ▶ Non-message signals
 - ▷ No locks!
 - ▷ R/B tree for link/monitor, double linked list for monitor target



gen_server:call benchmark

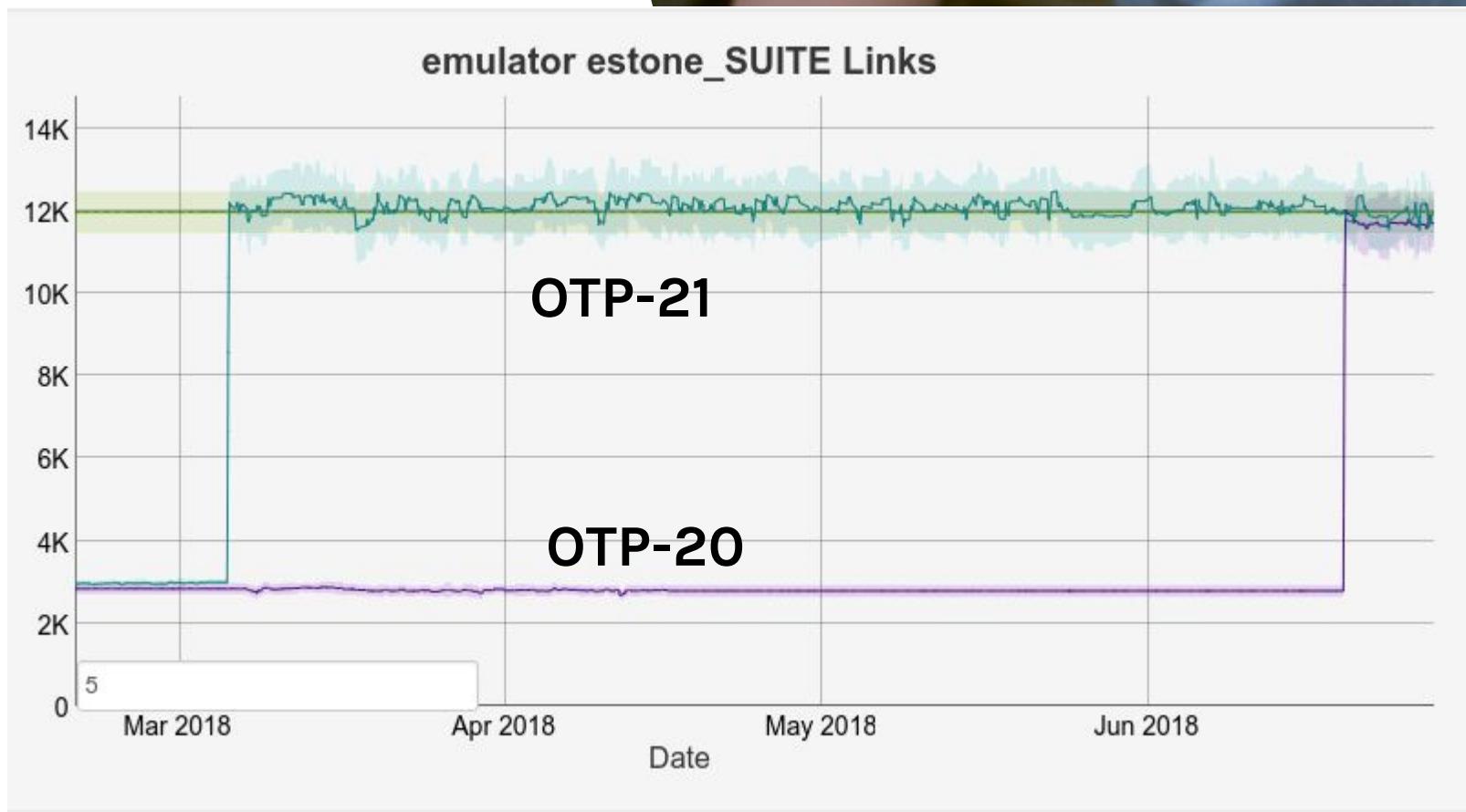
Processes	Total Calls	Total Time OTP-20 (s)	Total Time OTP-21 (s)
1	3 000 000	6.4	6.8
10	3 000 000	6.3	7.1
20	3 000 000	7.1	6.3
50	3 000 000	13.4	6.7
1000	3 000 000	23.6	8.3
10000	3 000 000	19.2	10.5



eudev genstress gen_call(10,3000,500)



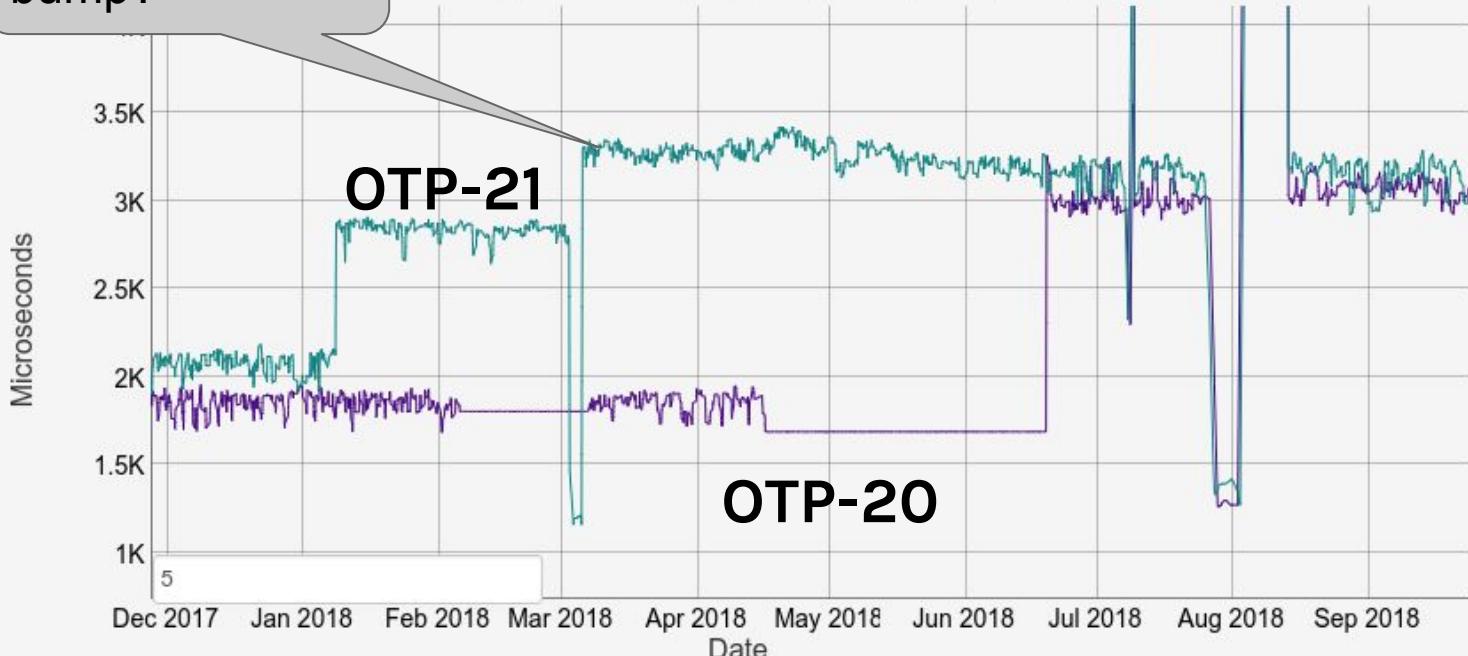
LOWER IS BETTER



HIGHER IS BETTER

What is this
bump?

edev genstress gen_call(1000,3000,500)



HIGHER IS BETTER

8.

Combining signals



COMBINING SIGNALS

```
gen:do_call(Process, Request, Timeout) ->  
    Mref = erlang:monitor(process, Process),  
    Process ! {'GEN_CALL', {self(), Mref}, Request},  
  
    receive  
        {Mref, Reply} ->  
            erlang:demonitor(Mref, [flush]),  
            {ok, Reply};  
        {'DOWN', Mref, _, _, Reason} ->  
            exit(Reason)  
    after Timeout ->  
        erlang:demonitor(Mref, [flush]),  
        exit(timeout)  
end.
```

COMBINING SIGNALS

```
Mref = erlang:monitor(process, Process),  
Process ! {'GEN_CALL', {self(), Mref}, Request},
```

- ▶ Delay monitor signal until next message
 - ▷ If target process is same, combine into one signal
 - ▷ Else send signals as normal
- ▶ If process is scheduled out without sending any message, send monitor signal anyway.



“

Questions?

https://github.com/erlang/otp/blob/master/erts/emulator/beam/erl_proc_sig_queue.h

